2009 DRAFTING REQUEST

Assembly Amendment (AA-AB850)

Received	d: 04/05/2010		Received By: agary								
Wanted: As time permits For: Peter Barca (608) 266-5504					Companion to LRB: By/Representing: Carla McDonald						
									May Con		
Subject: Fin. Inst securities					Addl. Drafters:						
					Extra Copies:						
Submit	via email: YES										
Request	er's email:	Rep.Barca	@legis.wisc	consin.gov							
Carbon	on copy (CC:) to: aaron.gary@legis.wisconsin.gov										
Pre Top	pie:										
No spec	ific pre topic gi	ven									
Topic:											
Limiting	g term political	subdivision for	purposes of	f institutional	investor exemption	on					
Instruc	tions:										
Compar	nion to LRBa19	26/1, per Paula	McGuire								
Draftin	g History:										
Vers.	<u>Drafted</u>	Reviewed	Typed	Proofed	Submitted	<u>Jacketed</u>	Required				
/?	agary 04/05/2010	nnatzke 04/05/2010									
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FE Sent	For:										

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Vers.	<u>Drafted</u>	Reviewed	Typed	Proofed	Submitted	Jacketed	Required			
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State of Wisconsin 2009 - 2010 LEGISLATURE

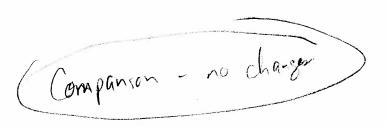
TODAY

9 7009 / LRB**419207** ARG:nwn:ph

RMNN)

SERATE AMENDMENT,

TO 2009 SENATE BILL 602 850



At the locations indicated, amend the bill as follows:

- **1.** Page 1, line 1: delete "defining political".
- **2.** Page 1, line 2: delete "subdivision of a state for purposes of" and substitute "limiting the institutional investor securities transaction exemption for certain school district employee benefit plans under".
 - **3.** Page 2, line 1: delete lines 1 to 3 and substitute:
- "Section 1m. 551.102 (11) (g) of the statutes is amended to read:

551.102 (11) (g) A plan established and maintained by a state, a political subdivision of a state, or an agency or instrumentality of a state or a political subdivision of a state for the benefit of its employees, if the plan has total assets in excess of \$10,000,000 or its investment decisions are made by a duly designated public official or by a named fiduciary, as defined in the Employee Retirement Income Security Act of 1974, that is a broker-dealer registered under the Securities

- Exchange Act of 1934, an investment adviser registered or exempt from registration under the Investment Advisers Act of 1940, an investment adviser registered under this chapter, a depository institution, or an insurance company. For purposes of this paragraph, "political subdivision of a state" does not include a school district.".
- 5 (END)